

Sector /

Applicants:

Deninger et al.

Serial No.:

09/758,006

For:

UHV Compatible Lead Through, Device and Procedure for Highly

Effective Production of Nuclear Spin Polarized ³He at High Polarization

Filed:

January 10, 2001

Examiner:

Not Yet Assigned

Art Unit:

2857

Attorney Docket No.: 608.0006USU

Box MISSING PARTS COMMISSIONER FOR PATENTS Washington, D.C. 20231

Dear Sir:

TRANSMITTAL OF MISSING PARTS OF APPLICATION AND PAYMENT OF SURCHARGE UNDER 37 C.F.R.§1.16(e)

Transmitted herewith are the following documents pertaining to the above-identified application:

<u>X</u>	Declaration and Power of Attorney executed by Anselm Deninger, Michael Ebert, Jan Hasse, Werner Heil, Ernst W. Otten, Jörg Schmiedeskamp and Reinhard Surkau;
_	Declaration Claiming Small Entity Status (Small Business Concern);
<u>X</u>	17 Sheets of Formal Drawings;
-,,,	An Assignment of the Invention to :, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
	A Certified Copy of the Priority Application;
	Information Disclosure Statement (Form - 1449); and
X	A copy of the Notice to File Missing Parts of Application Filing Date Granted.



Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED					
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00	
Total Claims	52 - 20 =	32	x \$18.00	\$576.00	
Independent Claims	2 - 3 =	0	x \$80.00	\$0	
Multiple x \$270.00 = \$0.00 Dependent Claim Fee					
TO	TAL FILING FEE			\$1286.00	

ı	1/2 FILING FEE FOR SMALL ENTITY	\$643.00

X A check in the amount of \$\frac{708.00}{1000}\$ to cover the filing fee (\$643) and surcharge (\$65) is enclosed.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467.** A duplicate copy of this Form is enclosed.

June 15, 2001 Date

Charles N.J. Ruggiero, Ess.

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

Attorney for Applicants Registration No. 28,468 Telephone: (203) 327-4500

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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON June 15, 2001.

Heather A. Fiorella

NAME.

SIGNATURE

06/15/01

DATE



#3

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/758,006

01/10/2001

Anselm Deninger

608.0006USU

CONFIRMATION NO. 9581

FORMALITIES LETTER

OC00000006022202

Charles N.J. Ruggiero, Esq.
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One Landmark Square, 10th Floor
Stamford, CT 06901-2682

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$288.
 - \$288 for 32 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e)
 of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items
 identified in this letter.
- The balance due by applicant is \$ 708.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch); 06/20/2001 GTEFFERA 00000063 09758006

01 FC:201 02 FC:205 355.00 OP 65.00 OP

03 FC:203

288.00 OP



• Abstract must be on a separate sheet.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE